

01 - 11 - 01

A

ATTORNEY DOCKET NO. 102088-300

page 1 of 2

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 10, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662116464US to the Commissioner for Patents, Washington, DC 20231.

William B Slate

Name of Person Mailing Paper

W. B. Slate

Signature of Person Mailing Paper

1c979 U.S. PTO  
09/757642

01/10/01

**BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of:

Inventor(s): **Edward R. Knapp III  
Arthur R. Martin  
Christopher S. Anderson  
Richard J. Fellingner**

For: **DISPLAY SYSTEM**

1. Type of Application

☒ Original    ☐ Continuation    ☐ Divisional    ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

- ☐ The new application being transmitted claims the benefit of:  
☐ prior foreign application serial no. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country); or  
☐ PCT international application designating at least one country other than the U.S. application serial no. \_\_\_\_\_, filed on \_\_\_\_\_.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. § 120 and §119(e))

☒ The new application being transmitted claims the benefit of prior copending U.S. applications:

Serial No. 60/175,327, filed on January 10, 2000; and  
Serial No. 60/211,705, filed on June 15, 2000.

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

21	page(s) of Specification
10	page(s) of Claims, having <u>34</u> claims, including <u>9</u> independent and <u>25</u> dependent claims
1	page(s) of Abstract
23	sheet(s) of <input checked="" type="checkbox"/> formal <input type="checkbox"/> informal Drawings

5. Additional Papers Enclosed

- ☐ Preliminary Amendment  
☒ Information Disclosure Statement  
☒ Form PTO-1449  
☒ Copies of Cited Art  
☐ Priority Document and Certified Copy  
☐ Form PTO-1595 (Assignment Recordation)

6. Declaration

An unsigned Declaration is: ☒ enclosed ☐ not enclosed.

7. Assignment

An Assignment is: ☐ enclosed ☒ not enclosed

☐ Enclosed is a recordation form and an assignment of the invention to \_\_\_, a corporation of the State of \_\_.

8. Small Entity Status

☒ Applicant claims Small Entity status.

9. Fee Calculation (37 C.F.R. § 1.16) -

CLAIMS AS FILED OR AS AMENDED BY ANY ENCLOSED PRELIMINARY AMENDMENT					
	Number Filed	Minus	Number Extra	Rate	Basic Fee \$710.00
Total Claims	34	-20	14	\$18.00	\$252.00
Independent claims	9	-3	6	\$80.00	\$480.00
Multiple Dependent claims, if any:				\$260.00	0
Total					\$1442.00
Reduction for small entity by 50%					\$721.00
TOTAL FILING FEE					\$721.00

10. Method of Payment of Fees

☐ Check in the amount of \$ \_\_\_\_\_

☒ Charge Account No. 23-1665 in the amount of \$ **721.00**.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any deficiencies or additional fees which may be required under 37 C.F.R. 1.16, 1.17, and 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

**William B. Slate, Esq.**  
Intellectual Property Law Section  
WIGGIN & DANA  
P.O. Box 1832  
New Haven, CT 06508-1832

William B. Slate, Esq.

(Name of Attorney)



Signature of Attorney

Reg. No. 37, 238

**WIGGIN & DANA**  
**P. O. BOX 1832**  
**NEW HAVEN, CT 06508-1832**  
**Tel. No. (203) 498-4373**

Date: January 10, 2001

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Edward R. Knapp III
Title	DISPLAY SYSTEM
Atty Docket Number	102088-300

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 10, 2001

Date



Signature

William B. Slate

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.